

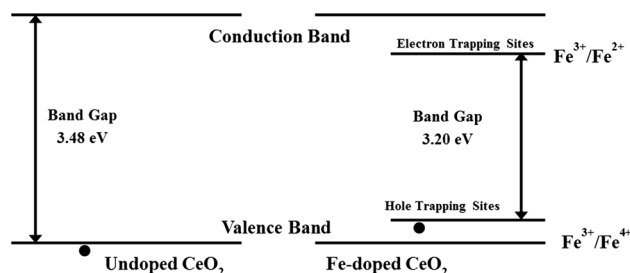
Effect of iron doping on the structural and optical properties of CeO₂ films

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Abstract Undoped and Fe-doped CeO₂ thin films were fabricated by spin coating on F-doped tin oxide glass substrates followed by annealing at 500 °C for 15 h. The concentration of the dopant was varied from 2 to 10 % iron by weight (metal basic). Glancing angle X-ray diffraction and laser Raman microspectroscopy indicated that the films consisted only of CeO₂ without any impurity phases of Fe_xO_y. The thickness of the films was determined by dual-beam focused ion beam milling to be ~150 nm for undoped film, while Fe-doped CeO₂ films have thickness of ~200 nm for all dopant samples. The transmission spectra from UV–visible spectrophotometry showed a redshift of the absorption edge of the doped films, and the optical indirect band gap of the films decreased from 3.48 to 3.20 eV with increasing dopant concentration. Furthermore, the results have been proposed in the diagram of electron–hole trapping.

Graphical Abstract



Keywords Cerium dioxide · Spin coating · Thin film · Optical properties · Crystallinity

1 Introduction

CeO₂ has been widely applied in recent years for many applications such as heterogeneous catalysts for automobile exhaust gas emission control [1], catalysts for water purification [2], electrolyte materials for solid oxide fuel cells (SOFC) [3], and thin-film barrier layers [4]. The increased applicability of CeO₂ is due to its excellent physical and chemical properties, such as high oxygen storage capacity (OSC) [5], high optical transparency in the visible region [6], and high refractive index [7]. For photocatalytic processes, it is commonly known that electron–hole pair generation is very essential, and for this purpose, the energy of the incident photon energy has to be at least equal to the band gap of the materials [8, 9]. CeO₂ is a wide-band-gap semiconductor with a band gap of 3.2 eV, and thus, it can only be activated with ultraviolet light (UV light) which comprises only 3–5 % of the complete incident

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solar spectrum [10]. Therefore, there has been an increasing focus on the development of techniques to enhance the efficiency of the photocatalyst by extending the range of activating wavelengths to the visible region which comprises ~40 % of total solar spectrum [11–13]. Several methods have been used to modify the electronic structure of CeO₂ such as transition metal doping with scandium (Sc) [14], titanium (Ti) [15], manganese (Mn) [16], iron (Fe) [17], cobalt (Co) [18], nickel (Ni) [19], copper (Cu) [20], or zinc (Zn) [21]. Among these, Fe doping is believed to have the most potential to decrease the band gap of CeO₂ and thus to enhance the photocatalytic efficiency since it can enhance the trapping of charge carriers and also inhibit electron–hole recombination during irradiation. Therefore, charge transfer processes can be improved, and this can result in greater photoactivity in the visible region [22–24].

Many fabrication techniques such as pulsed laser deposition (PLD) [25], metal–organic chemical vapor deposition (MOCVD) [26], sputtering [27], reactive DC magnetron sputtering [28], electron beam evaporation [29], spray pyrolysis [30], and sol–gel spin coating methods have been reported for the fabrication of CeO₂ thin films [31]. The latter is one of the most advantageous options due to its simplicity of operation and low cost of material precursors. Moreover, the fabrication of thin films by spin coating technique does not require expensive vacuum conditions. There have been very few publications on the performance of CeO₂ thin films coated on conductive substrates such as F-doped SnO₂ (FTO)-coated glass. There is not much difference in the coated materials on FTO and ITO for photoelectrochemical and photovoltaic applications [32]. However, the price consideration between FTO and ITO makes FTO more advantageous than ITO. Yang et al. [33] reported that the current–voltage photovoltaic performance of dye-sensitized solar cells (DSSCs) using FTO coated with a TiO₂ showed a maximum conversion efficiency of 7.8 %, while bare FTO substrate provided electric energy conversion efficiency of 5.9 %.

This present work focused on the effect of iron doping on the mineralogical, microstructure, compositional, and optical properties of CeO₂ thin films on FTO-coated glass substrates fabricated by spin coating and annealing processes.

2 Experimental

The sol–gel precursor was prepared by dissolving cerium chloride heptahydrate (analytical grade, 99.9 wt% purity, Sigma-Aldrich) in methanol (reagent plus ≥99.9 wt% purity, Sigma-Aldrich) to obtain a solution with 0.5 M Ce concentration. Subsequently, iron (III) chloride hexahydrate (analytical grade, 97 wt% purity, Sigma-Aldrich) was added to the above solution to achieve Fe dopant concentrations of

2, 4, 6, 8, and 10 wt%. Afterward, 0.02 M of citric acid (analytical grade, 99.0 wt% purity, Sigma-Aldrich) was added into the mixed solution in order to prevent cracking and excessive shrinkage of the films [34]. The mixed solution was stirred at 500 rpm for 20 min at room temperature until it became a homogeneous solution. Subsequently, the solution was deposited on FTO-coated glass substrates (Wu-Han Geao Instruments Science and Technology Co., Ltd., China, 5 × 5 × 0.2 cm of dimension) by using a spin coating machine (Laurell WS-65052).

This process involved a total of 10 cycles, each involving the deposition of 0.2 mL of the solution on to the substrate that is spinning at 2000 rpm, followed by drying with an additional spinning for 15 s. A simple schematic of the spin coating process is shown in Fig. 1. The annealing of the deposited films was done in a muffle furnace at 500 °C for 5 h; the heating rate to the top temperature was 5 °C/min, while the cooling was done by natural cooling.

Glancing angle X-ray diffraction (GAXRD, Phillips X'pert Materials Research Diffraction, CuK_α, 45 kV, 40 mA, step size 0.02° 2θ, speed 6°/min 2θ with 1° of set angle) and laser Raman spectroscopy (Renishaw's inVia Raman, He-Cd UV laser excitation source of wavelength 442 nm) were used for the mineralogical characterization of the films. The film thickness was determined using dual-beam focused ion beam (FIB) milling (XT Nova NanoLab 200). The transmission spectrum in the visible region (300–800 nm) was obtained using a dual-beam UV–visible spectrometer (PerkinElmer Lambda 35). The optical indirect band gap was calculated from transmission data using the method of Tauc and Menth [35]. Furthermore, the changes in the valence state of Ce and Fe were determined using thermodynamic stability diagrams calculated using FactSage 6.0 software (Predom mode).

3 Results and discussion

For the undoped and Fe-doped CeO₂ films, GAXRD analyses showed that the major phase was CeO₂ which was indexed as a cubic fluorite, matching that of JCPDS 34-03940 [36]. No other peaks from either metallic iron or Fe_xO_y were found in the XRD patterns (see Fig. 2). The XRD data showed that the intensity of the CeO₂ peak in the doped films is lower than that in the undoped film.

However, no significant changes in intensity were observed among the films with varying dopant levels. Nonetheless, it should be noted that the diffraction peak associated with the (200) plane shifted to higher angles with increasing dopant levels.

These data suggest that the Fe dopant has formed a solid solution with the Ce–O lattice. This is further supported by the observed slight decrease in the lattice parameter owing

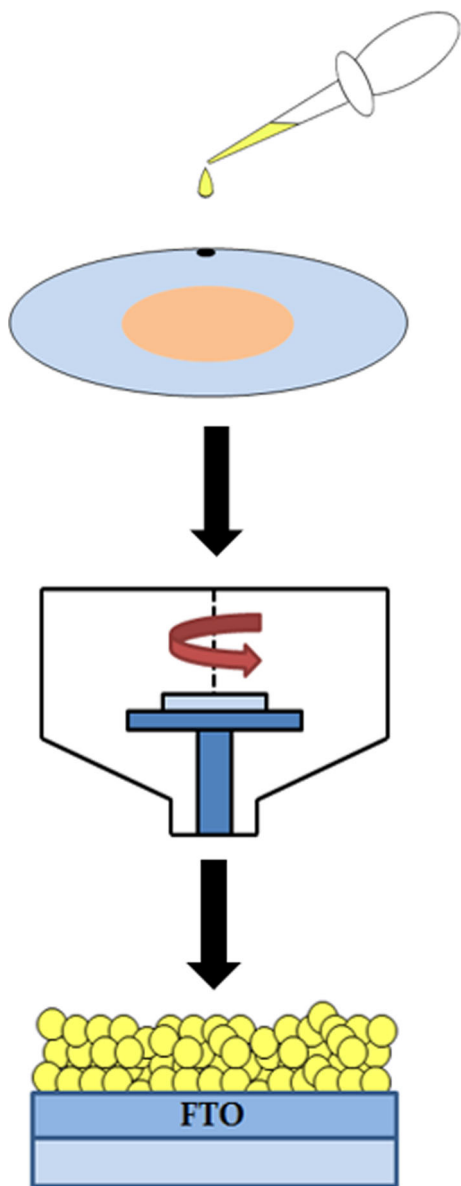


Fig. 1 Sol-gel spin coating process for fabrication CeO₂ or Fe-doped CeO₂ films

to the lattice distortion effects associated with doping with a smaller cation such as Fe³⁺ (Table 1; parameters were calculated using Eqs. 1–3 [37]).

$$d - \text{spsaing} = \frac{1.54}{2 \sin \theta} \tag{1}$$

$$\text{Lattice parameter}(a) = \sqrt{\frac{d^2}{h^2 + l^2 + k^2}} \tag{2}$$

$$\text{Unit cell volume} = a^3 \tag{3}$$

where a = lattice parameter (side of cubic cell, $a = b = c$ axis), θ = angle of (200) plane, and (hkl) plane = hkl plane at the selected diffraction peak.

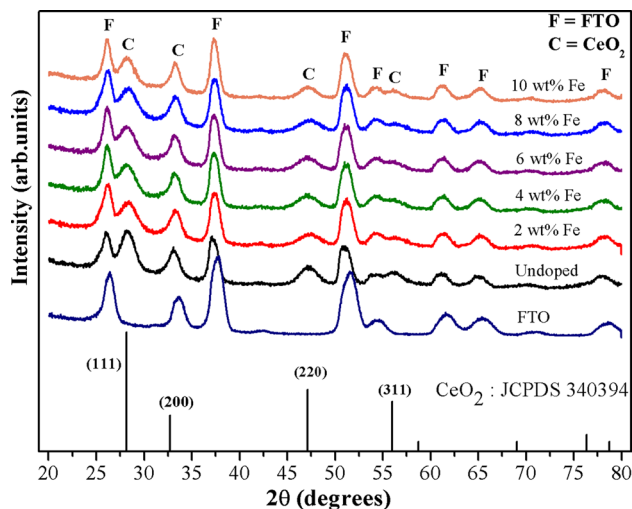


Fig. 2 Glancing angle X-ray diffraction data of undoped and Fe-doped CeO₂ films

The glancing incident X-ray beam has a depth of penetration of ~300 nm [38, 39], and since the CeO₂ thin films are only ~200 nm in thickness, the clear peaks of the FTO substrate are seen in the XRD pattern (see Fig. 2). It is interesting to note that there is also a shift in the FTO peak position (~37° 2θ) compared to the uncoated and unannealed substrate. The effect of contaminant diffusion from the substrate to the film is often overlooked even though it can have a significant impact on the performance of the films. The change in characteristics of the FTO layer is expected to have implications for the optical properties of the coated and annealed films.

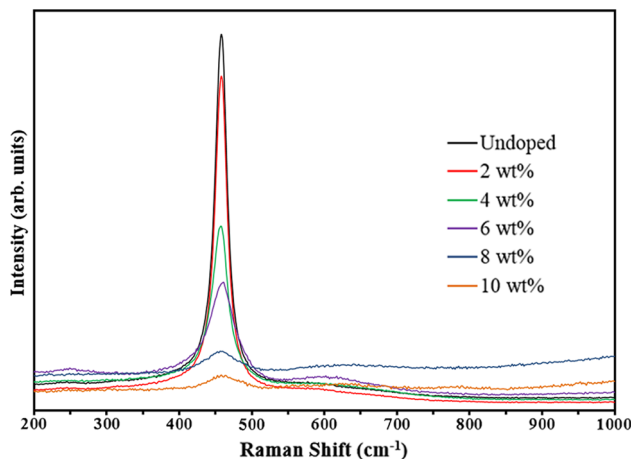
This suggests that the heat treatment temperature of 500 °C had an effect on modifying the crystallographic properties of the substrate, and this could be attributed to the diffusion of silicon and sodium cations to diffuse into FTO and also CeO₂ thin film [40]. In addition, tin cation can also diffuse from FTO into CeO₂ thin film. These cation ions (silicon, sodium, and tin) also act as inhibitor to prevent the grain growth, resulting in small crystallite size. The latter also acts to increase the optical band gap.

Laser Raman spectroscopy (see Fig. 3) was used to obtain further information on the mineralogical characteristics of the films since this technique is more sensitive than XRD. The results show that the peak intensity of the major CeO₂ peak decreased significantly with increasing Fe dopant levels, and this suggest that increasing addition of Fe dopant results in amorphization of the films. This also confirms that Fe dopants are potentially present at the grain boundaries and is hindering the recrystallization of CeO₂ at high concentrations, leading to a decline in the intensity of the CeO₂ peak.

The cross-sectional image of Fe-doped CeO₂ and undoped CeO₂ thin films is shown in Fig. 4. The average

Table 1 Calculated *d*-spacing, lattice parameters, and unit cell volume for undoped and Fe-doped CeO₂ thin films

Fe doping concentration (wt%)	200 plane			
	2θ (degree)	<i>d</i> -spacing (nm)	Lattice parameter (nm)	Unit cell volume (nm ³)
0	32.99	0.27	0.47	0.10
2	34.21	0.26	0.47	0.10
4	34.27	0.26	0.46	0.10
6	34.33	0.26	0.46	0.10
8	34.46	0.25	0.45	0.09
10	34.62	0.25	0.45	0.09

**Fig. 3** Raman spectra for undoped and Fe-doped CeO₂ films

thickness of the films was calculated in respect of the vertical (usually at a tilt of 52°) [41]. The undoped CeO₂ film deposited on FTO substrate had a thickness of ~150 nm CeO₂, while Fe-doped CeO₂ films had thicknesses of ~200 nm, irrespective of the dopant content.

Furthermore, the change in film thickness may be correlated with the diffusion of cations from the substrate toward the growing film. For thinner films, the cation ion diffusion from the substrates to the films is much higher than in the thick films, which limits the degree of crystallinity of CeO₂.

However, the effect of the Fe³⁺ cation dopant cannot be neglected. The XRD result suggested that Fe³⁺ cation is a more effective grain growth inhibitor than the cation diffusion from the substrate. Since the degree of crystallinity growth is dependent on grain boundary diffusion, doping with Fe³⁺ cation would inhibit grain growth and decrease the degree of crystallinity of CeO₂ upon increasing the Fe³⁺ dopant content [42].

Thermodynamic stability diagrams were calculated to determine the stability regions for ions of different valences (corresponding oxides) as a function of temperature and pressure (Fig. 5). Consequently, these diagrams are

useful in estimating the potential valence states of Ce and Fe species after film processing under certain experimental conditions.

Since the atmospheric pressure is 0.10 MPa (1.00 atm) and air composition is approximately 20 vol% O₂, the oxygen partial pressure (pO₂) of air is ~0.02 MPa (~0.20 atm). The calculated thermodynamic stability diagram of Ce–O and Fe–O under the annealing conditions of 500 °C in air (log pO₂ = –0.69) shows that the cations would be present in the form of Ce⁴⁺ and Fe³⁺, respectively.

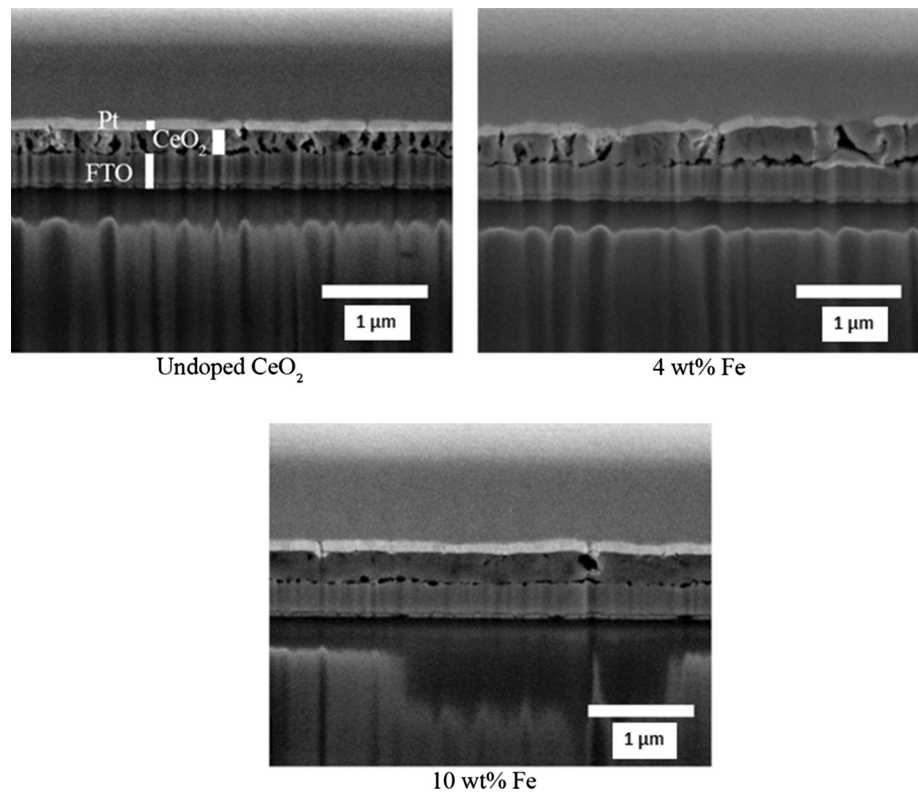
Based on the thermal stability diagrams, it can be assumed that Fe³⁺ is present in the films. Based on this assumption, it is possible to predict the mechanism of electron–hole trapping in the Fe-doped CeO₂ samples.

Since the crystal radii of Fe³⁺, Ce⁴⁺, and O^{2–} in -sixfold coordination are 0.072, 0.101, and 0.126 nm, respectively [43]; therefore, there are two possible routes for substitution that could occur and the mechanisms involved can be explained further as follows:

- Fe³⁺ ion substitution for Ce⁴⁺ ion results in the production of a structure with holes in the CeO₂ structure; this results in the creation of electron acceptor levels of Fe³⁺ inside CeO₂ band structure (shallow hole trapping).
- An unlikely possibility is the substitution of O^{2–} ion by Fe³⁺ ion, which results in the formation of an ionic bond. The free electrons of Fe³⁺ (non-bonding one) can function as electron donors by creating a new energy level at the bottom of conduction band of CeO₂ (shallow electron trapping).

However, substitution by metals of ionic radii differing by more than 15 % from that of the parent metal is unlikely to happen. The crystal radii data show that the difference in radii between Ce⁴⁺ and Fe³⁺ is ~28 %. It is likely that the interstitials of the Ce–O structure would be occupied by the Fe³⁺ ion; in this case, electrons from Fe³⁺ are free to move within the CeO₂ lattice. The creation of electron trapping sites in the CeO₂ band structure (by doping) can serve as electron donors. From the XRD peak shift in Fig. 2, these

Fig. 4 Cross-sectional images showing the thickness of undoped and Fe-doped CeO₂ thin films on FTO-coated glass substrates



observations could be ascribed to the interstitials of Ce⁴⁺ ions (0.101 nm) being occupied by Fe³⁺ ions (0.072 nm), as shown in Fig. 6.

The transmission spectra for undoped and Fe-doped CeO₂ thin films were in good agreement and support the possible explanation for interstitial solid solutions. The undoped CeO₂ film showed a high transmittance in the visible range of ~85 %. The extent of transmission decreased significantly with increasing doping levels (see Fig. 7). A possible explanation is that Fe³⁺ is a major factor affecting the reduction yield of effective light transmission. This can be attributed to the formation of an interstitial solid solution of Fe³⁺ within the CeO₂ lattice, resulting in a poorly crystalline structure leading to enhanced scattering of photons [44, 45].

Since CeO₂ is known to be a transparent conductive oxide, undoped CeO₂ thin films are transparent to visible light (400–800 nm). However, Fig. 7 shows that the transmission in the visible region decreased with increasing Fe dopant level since there was a slight increase in the thickness of the films containing dopants although this is not believed to significantly affect the transmission. A significant factor is the color of the films; Fe doping caused a change in color of the films from colorless (transparent) to dark yellow. This would result in absorption of light in the visible range, and resultantly, there would be a lowering of the transmission. Furthermore, doping with Fe was

observed to shift the absorption edge toward longer wavelengths. The optical indirect band gap (E_g) of the films calculated using the Tauc method (Fig. 8) showed that optical indirect band gap decreased from 3.48 eV for undoped CeO₂ to 3.20 eV for 10 wt% Fe-doped CeO₂.

Prior studies have been conducted on the deposition of CeO₂ films using different techniques such as spray pyrolysis, sol–gel, magnetron sputtering, electron beam evaporation, and PLD [46–49]. All these studies have shown that the band gap and electronic structure of the films can be modified by Fe doping. However, in the present work, the value of the indirect band gap obtained is lower than those observed in prior work; furthermore, in prior work, the band gaps were observed to increase with increasing doping levels, which is in contradiction to the present results.

Chen and Chang [50] reported band gap values ranging from 3.56 to 3.71 eV for CeO₂ nanoparticles synthesized by precipitation method at different calcination temperatures. Maensiri et al. [51] presented band gap values ranging from 3.67 to 3.61 eV for CeO₂ synthesized by the sol–gel method using egg white. Similarly, Masui et al. [52] reported band gap values of undoped CeO₂ prepared using reverse micelles to be 3.44 eV.

However, the optical band gap value determined (3.48 eV) was in the range of other reports [53–55]. The explanation of high band gap value is the result of quantum

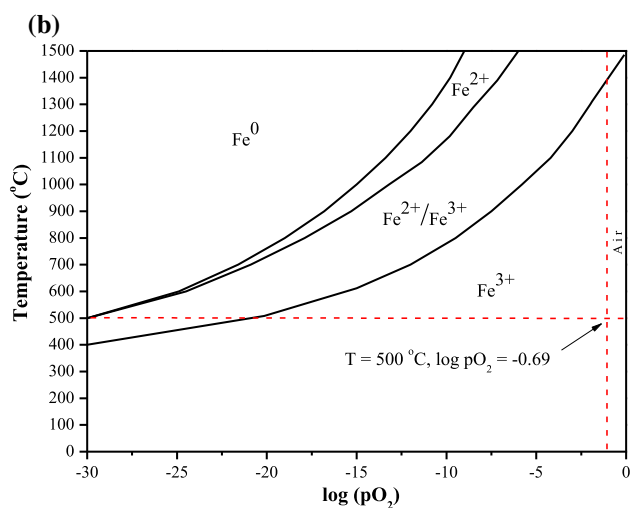
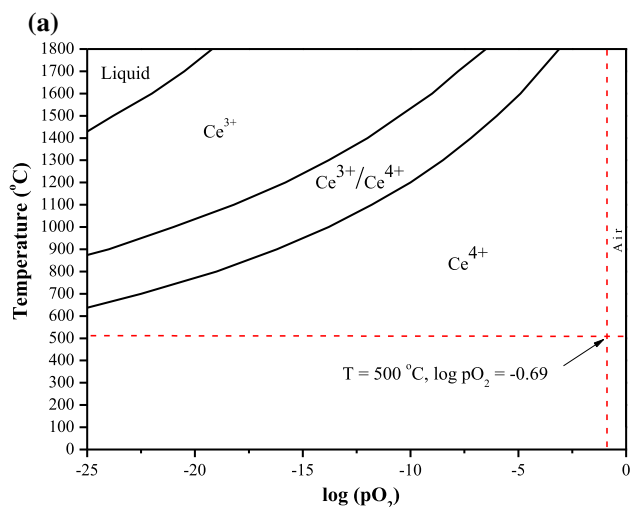


Fig. 5 Calculated thermal stability diagrams of **a** Ce–O and **b** Fe–O

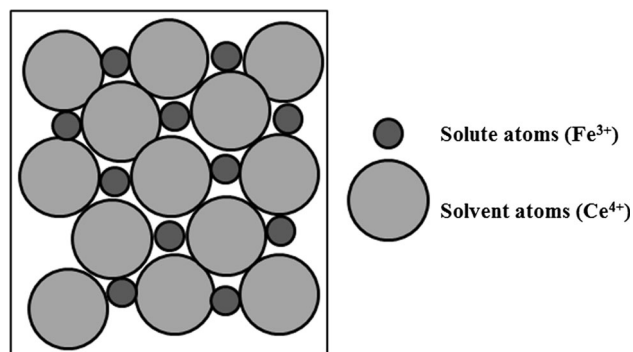


Fig. 6 Schematic illustrating the formation of interstitial solid solution by Fe^{3+} in CeO_2 lattice

confinement effect. Ansari [55] stated that the valence state of the Ce ion following charge transfer and also due to the quantum size effect by decreasing the crystallite size.

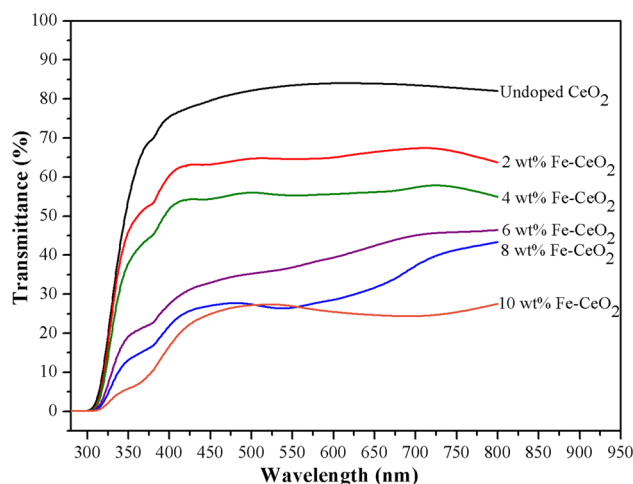


Fig. 7 UV–visible spectra of undoped and Fe-doped CeO_2 films

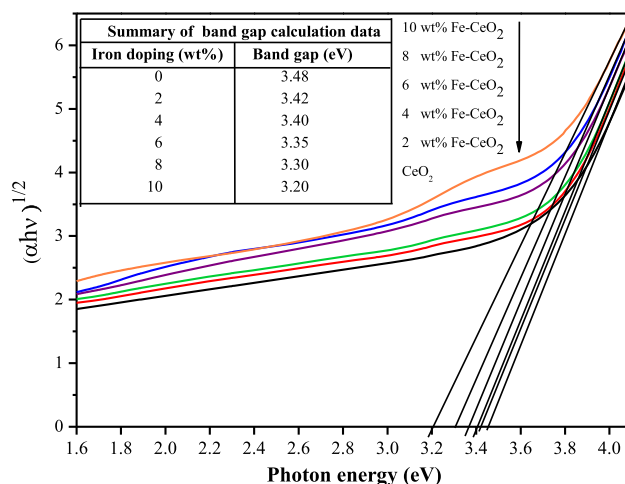
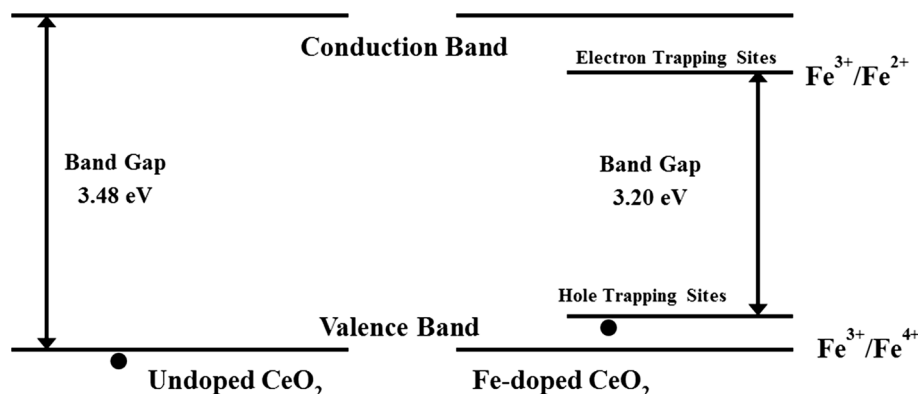


Fig. 8 Optical indirect band gap data for undoped and Fe-doped CeO_2 films

Based on the results, it can be concluded that incorporation of Fe^{3+} ions into the CeO_2 lattice either by shallow electron trapping or by shallow hole trapping would reduce the potential for electron–hole recombination [56–58]. The proposed band structure of undoped CeO_2 and 10 wt% Fe-doped CeO_2 is shown in Fig. 9. Since Fe^{3+} is known to create shallow trapping sites at the donor and acceptor levels, Fe^{3+} doping may decrease the band gap by electron trapping process owing to the substitution of Ce^{4+} or O^{2-} ions by Fe^{3+} ions, and/or by hole trapping in the case of interstitial presence of Fe^{3+} ions in the CeO_2 lattice. Additionally, the increase in the amount of Fe^{3+} dopant can be effective in decreasing the band gap of CeO_2 which would enhance the range of wavelengths that can be absorbed and increase the lifetime of electron–hole separation.

Fig. 9 Hypothetical band structure of undoped CeO₂ and 10 wt% Fe-doped CeO₂



4 Conclusions

Fe-doped CeO₂ thin films have been deposited on FTO-coated glass substrates by spin coating of sol–gel precursors followed by annealing at 500 °C for 5 h. It is important to note that the valence state of Fe³⁺ plays a great role in the mechanism related to solid solution and electron–hole trapping. The thermal stability diagrams suggest that the doped Fe ions exist mainly in the form of Fe³⁺. The mineralogical data showed that doping CeO₂ with Fe³⁺ resulted in a significant lowering of the transmission of the films in the visible range, although this could be attributed to increased absorption/reflection from the films due to the associated change in color of the films. The decrease in crystallinity of the films with increased dopant concentration is attributed to precipitation of the dopants on the grain boundary which would hinder the recrystallization of CeO₂. This decreased crystallinity could also explain the lowering of transmission due to increased scattering from the amorphous structure.

Based on the proposed band structure, it was concluded that the Fe³⁺ dopant introduced impurity levels that acted as shallow electron–hole trapping inside the band structure of CeO₂, leading to a narrowing of the band gap. This resulted in the Fe-doped films displaying a redshift of the optical absorption edge as well as a lowering of the band gap from 3.48 eV for the undoped CeO₂ films to 3.20 eV to the film with 10 wt% Fe dopant.

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